12114-154

Attorney Docket Number

TRANSMITTAL

**FORM** 

Total Number of Pages in this Submission

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/759,640 **Application Number** January 16, 2001 Filing Date G. Colby CONKWRIGHT et al. First Named Inventor Art Unit 3624 Ella COLBERT **Examiner Name** (to be used for all correspondence after initial filing)

12959/7

**December 10, 2004** 

Date

	<del>'</del>	<u> </u>		
	ENCLOSURES (check all that a	oply)		
Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC		
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final	Petition to Convert to a Provisional Application	Proprietary Information		
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):		
Express Abandonment Request	Request for Refund  CD, Number of CD(s)	1. PTO-1449 form with 45 references not included in		
Information Disclosure	☐ Landscape Table on CD	page count 2. Postcard		
Certified Copy of Priority Document(s)	Remarks			
Reply to Missing Parts/ Incomplete Application				
Reply to Missing Parts under 37 CFR1.52 or 1.53				
	SIGNATURE OF APPLICANT, ATTORNE	Y, OR AGENT		
Firm	Kenyon & Kenyon			
Signature	Signature Stephen J. Neal			
Printed Name	Stephen T. Neal			
Date	Date December 10, 2004 Reg. No. 47,815			
CERTIFICATE OF TRANSMISSION/MAILING				
Service with sufficient postage as fi		USPTO or deposited with the United States Postal d to: Commissioner for Patents, P.O. Box 1450,		
Signature	Rbara Vanc	8		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**Barbara Vance** 

Typed or printed name

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

O PET TO AN ORALTTAL		Complete if Known	1
FEETRANSMITTAL	Application Number	09/759,640	
DEC 14 2004 For FY 2005	Filing Date	January 16, 2001	
	First Named Inventor	G. Colby CONKWRIGHT et al.	
Effective 10/03/2004. Patent fees are subject to annual revision.	Examiner Name	Ella COLBERT	
Claims small entity status. See 37 CFR 1.27	Art Unit	3624	
Effective 10/05/004. Patent fees are subject to annual revision.  Claims small entity status. See 37 CFR 1.27  TOTAL AMOUNT OF PAYMENT (\$) 180.00	Attorney Docket No.	12959/7	

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order		OITIO	IAL FE	ES	
☑ Deposit Account:	Large	Entity	Small E	ntity	
Deposit Account 11-0600	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid
Number	1051	130	2051	65	Surcharge - late filing fee or oath
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover
Account Kenyon & Kenyon	1053	130	1053	130	sheet.
Name	1812	2,520			Non-English specification
The Director is authorized to: (check all that apply)  ☑ Charge fee(s) indicated below ☑ Credit any overpayments	1804	920*	1812	2,520	For filing a request for ex parte reexamination
Charge any additional fee(s) or any underpayment of fee(s)	1804	920	1804	920*	Requesting publication of SIR prior to Examiner action
☐ Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after
to the above-identified deposit account.					Examiner action
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month
	1252	430	2252	215	Extension for reply within second month
1. BASIC FILING FEE	1253	980	2253	490	Extension for reply within third month
Large Entity   Small Entity Fee Fee Fee Fee Description	1254	1,530	2254	765	Extension for reply within fourth month
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid	1255	2,080	2255	1,040	Extension for reply within fifth month
1001 790 2001 395 Utility filing fee	1401	340	2401	170	Notice of Appeal
1002 350 2002 175 Design filing fee	1402	340	2402	170	Filing a brief in support of an appeal
1003 550 2003 275 Plant filing fee	1403	300	2403	150	Request for oral hearing
1004 790 2004 395 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding
1005 160 2005 80 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable
SUBTOTAL (1) (\$) 0	1453	1,370	2453	685	Petition to revive – unintentional
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,370	2501	685	Utility issue fee (or reissue)
	1502	490	2502	245	Design issue fee
Extra Claims Fee from below Fee Paid	1503	660	2503	330	Plant issue fee
Total Claims20 ** = X X =	1460	130	1460	130	Petitions to the Commissioner
ndependent -3 ** = X 200.00 =	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)
-3 ** = X 200.00 =	1806	180	1806	180	Submission of Information Disclosure Stmt 180.00
Multiple Dependent  X =	8021	40	8021	40	Recording each patent assignment per property (times number of properties)
Large Entity Small Entity	1809	790	2809	395	Filing a submission after final rejection
Fee Fee Code (\$) Fee Description					(37 CFR § 1.129(a))
1202 18 2202 9 Claims in excess of 20	1810	790	2810	395	For each additional invention to be
1201 88 2201 44 Independent claims in excess of 3					examined (37 CFR § 1.129(b))
1203 300 2203 150 Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)
1204 88 2204 44 *** Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application
1205 18 2205 9 "Reissue claims in excess of 20 and over original patent"	Othor	ina /ans-:			or a design application
SUBTOTAL (2) (\$) 0		ee (speci		. <b>.</b>	
1	'Redu	ced by Ba	asic Filing	3 F88 P	
**or number previously paid, if greater, For Reissues, see above					

SUBMITTED BY				Co	mplete (if applicable)
Name (Print/Type)	Stephen T. Neal	Registration No. (Attorney/Agent)	47,815	Telephone	(408) 975-7500
Signature	Straken J.M.	ul		Date	December 10, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Paternark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No.: 12959/7



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

G. Colby CONKWRIGHT et al.

SERIAL NO.

09/759,640

FILED

January 16, 2001

**FOR** 

PRIVACY COMPLIANT MULTIPLE DATASET CORRELATION SYSTEM

**GROUP ART UNIT** 

3624

EXAMINER

Ella COLBERT

M/S: AMENDMENT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: M/S: AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Barbara Vance

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith is an Information Disclosure Statement under 37 CFR §1.97(c) with forty-five (45) reference(s) listed on the attached PTO-1449 (modified) form. These references have been cited in Office Actions for divisional applications and continuations-in-part for this application.

Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the

of the above-identified application or after the mailing date of the first Office Action on the merits, which event occurred last but before the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

If any fee is required, the Office is hereby authorized to charge any fees, or credit any overpayments under 37 CFR §1.17(p) to Kenyon & Kenyon, Deposit Account No. 11-0600.

Respectfully submitted,

**KENYON & KENYON** 

Dated: December 10, 2004

3y: \_∠

Stephen T. Neal

(Reg. No. 47,815)

KENYON & KENYON 333 West San Carlos St., Suite 600 San Jose, CA 95110

Telephone:

(408) 975-7500

Facsimile:

(408) 975-7501



# Form PTO-1449 (modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT **Attorney Docket No.** 12959/7

**Serial No.** 09/759,640

Applicant

G. Colby CONKWRIGHT et al.

Filing Date
January 16, 2001

Group Art Unit

3624

### U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2,712,976	July 12, 1955	Blaustein et al.	346	33	December 3, 1953
	3,126,513	March 24, 1964	Kamen	325	31	December 21, 1961
	3,524,015	August 11, 1970	Campbell	178	6	August 31, 1966
	3,693,090	September 19, 1972	Gabriel	325	308	August 8, 1969
	4,107,735	August 15, 1978	Frohbach	358	84	April 19, 1977
	4,546,382	October 8, 1985	McKenna et al.	358	84	December 8, 1983
	4,769,697	September 6, 1988	Gilley et al.	358	84	December 17, 1986
	4,905,080	February 27, 1990	Watanabe et al.	358	84	July 31, 1987
	4,931,865	June 5, 1990	Scarampi	358	84	August 24, 1988
	5,036,389	July 30, 1991	Morales	358	84	July 14, 1989
	5,101,267	March 31, 1992	Morales-Garza	358	84	August 7, 1989
	5,155,591	October 13, 1992	Wachob	358	86	October 23, 1989
	5,226,177	July 6, 1993	Nickerson	455	2	August 3, 1992
	5,227,874	July 13, 1993	Von Kohorn	358	84	October 15, 1991
	5,319,455	June 7, 1994	Hoarty et al.	348	7	December 23, 1992
	5,508,731	April 16, 1996	Kohorn	348	1	February 25, 1993
	5,515,270	May 7, 1996	Weinblatt	364	405	January 12, 1995
	5,550,928	August 27, 1996	Lu et al.	382	116	December 15, 1992
	5,594,934	January 14, 1997	Lu et al.	455	2	September 21, 1994
	5,710,884	January 20, 1998	Dedrick	395	200.47	March 29, 1995
	5,721,583	February 24, 1998	Harada et al.	348	12	November 26, 1996
	5,734,720	March 31, 1998	Salganicoff	380	20	June 7, 1995
	5,757,417	May 26, 1998	Aras et al.	348	10	September 23, 1997
	5,758,257	May 26, 1998	Herz et al.	455	2	November 29, 1994
	5,759,101	June 2, 1998	Von Kohorn	463	40	April 11, 1994
	5,771,307	June 23, 1998	Lu et al.	382	116	December 21, 1995
	5,796,952	August 18, 1998	Davis et al.	395	200.54	March 21, 1997
	5,915,243	June 22, 1999	Smolen	705	14	August 29, 1996
	5,973,683	October 26, 1999	Cragun et al.	345	327	November 24, 1997
	5,983,214	November 9, 1999	Lang et al.	707	1	November 5, 1998
	6,029,045	February 22, 2000	Picco et al.	455	5.1	December 9, 1997
	6,169,542	January 2, 2001	Hooks et al.	345	327	December 14, 1998
	6,285,983	September 4, 2001	Jenkins	705	10	March 19, 1999
	6,317,881	November 13, 2001	Shah-Nazaroff et al.	725	24	November 4, 1998
	6,393,407	May 21, 2002	Middleton, III et al.	705	14	September 2, 1998
	2001/0003099	June 7, 2001	Von Kohorn	463	40	June 1, 1998
	2001/0020236	September 6, 2001	Cannon	707	1	March 22, 2001
	2001/0048439	December 6, 2001	Young	345	473	May 29, 2001
	2002/0010919	January 24, 2002	Lu et al.	725	18	September 12, 200
· <del></del>	2002/0053077	May 2, 2002	Shah-Nazaroff et al.	725	13	August 20, 2001
	2002/0094513	July 18, 2002	Weir et al.	434	350	July 30, 2001

Attorney Docket No.: 12959/7

December 10, 2004

09/759,640



EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	2002/0138830	September 26, 2002	Nagaoka et al.	725	14	July 26, 2001
	2002/0144259	October 3, 2002	Gutta et al.	725	10	March 29, 2001

#### FOREIGN PATENT DOCUMENTS

						TRANSLA	ATION
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO

### OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Jakob Nielsen, Bellcore (Moderator); Lynda Hardman, OWL; Anne Nicol, Consultant; Nicole Yankelovich, IRIS; "Panel: The Nielsen Ratings: Hypertext Reviews" ACM Press, New York, NY, USA; pgs. 359-60, December 1991
	William L. Thomas; Nielsen Media Research, Dunedin, FL "Television Audience Research Technology, Today's Systems And Tomorrow's Challenges", IEEE Xplore, Vol. 38, Issue: 3, pgs. xxxix-xlii, August 1992

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.